

*Alfred M. Walker*

*Attorney at Law*

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OFFICIAL

*Admitted to United States Patent Office and New York State*

April 6, 2004

Commissioner for Patents  
Mail Stop: Amendments  
P.O. Box 1450  
Alexandria, VA 22313-1450

APPLICANT:	William George Wilhelm
SERIAL NO.:	08/820,496
FILED:	March 19, 1997
EXAMINER:	Rios-Cuevas, Roberto J.
GROUP ART UNIT:	2836
MAILING DATE OF ACTION:	October 6, 2003
TITLE:	HIGH EFFICIENCY LIGHTING SYSTEM

Dear Sir:

Enclosed please find Amendment with Request for Three Month Extension and fee transmittal.

Certificate of Facsimile.

Please acknowledge receipt by return facsimile.

Respectfully submitted,

*Alfred M. Walker*

Alfred M. Walker  
Reg. No. 29,983

PAT27

I certify that this correspondence is being facsimile transmitted to the U.S. Patent & Trademark Office at (703) 872-9306 on the date indicated below.

Date: April 6, 2004

*Alfred M. Walker*  
Alfred M. Walker

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 475)

## Complete if Known

Application Number	08/820,496
Filing Date	MARCH 19, 1997
First Named Inventor	WILLIAM GEORGE WILHELM
Examiner Name	RIOS-CUEVAS; ROBERTO J.
Art Unit	2836
Attorney Docket No.	NEXTEK-LIGHTING

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	23-0120
Deposit Account Name	ALFRED M. WALKER

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 365	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 365	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)		0	

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	
			-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		0

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1806 1,840*	1806 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1262 420	2252 210	Extension for reply within second month	475
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of information: Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.128(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination or of a design application	
Other fee (specify)			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3) (\$)	475

(Complete if applicable)

SUBMITTED BY	Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Signature	ALFRED M. WALKER <i>Alfred M. Walker</i>	29,983	631-361-8737

Date APRIL 6, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Wilhelm  
08/820,496

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on April 6, 2004

  
\_\_\_\_\_  
Alfred M. Walker

07/09/03 19:45:48

USPTO-&gt;

6313618792 RightFAX

Page 001

TO:Aut -reply fax to 6313618792 COMPANY:

## Auto-Reply Facsimile Transmission



TO:

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Received  
Cover  
Page  
=====>

JUL-08-2003 TUE 07:21 PM WALKER LAW OFFICES FAX NO. 6313618792 P. 01

Alfred M. Walker, P.C.

Attorney at Law

Admitted to New York State Bar  
and the United States Patent Office225 Old Chapel Street  
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July 8, 2003

Commissioner for Patents  
Mail Stop: Amendments  
P.O. Box 1450  
Alexandria, VA 22313-1450APPLICANT(S): William George Wilhelm  
SERIAL NO.: 08/620,496 (CDA)  
FILED: March 19, 1997  
REMARKS: P. Filing  
GROUP ART UNIT: 2836  
MAILING DATE OF ACTION: January 21, 2003  
TITLE: HIGH EFFICIENCY LIGHTING SYSTEM

Dear Sir:

Enclosed please find Supplementary Amendment.  
A duplicate of the Amendment was filed with Group Art Unit  
2836.

Certificate of Fax transmission.

Please acknowledge receipt by fax.

Respectfully submitted,

The signature of Alfred M. Walker, which is handwritten in black ink and appears to read 'Alfred M. Walker'.

Alfred M. Walker

Reg. No. 29,983

PAT27

I certify that this correspondence is being deposited by fax  
to (703)-746-7239 on the date indicated below.

Date: July 8, 2003

The signature of Linda N. Russo, which is handwritten in black ink and appears to read 'Linda N. Russo'.

Received on 07/08/2003 at 07:21:00 Eastern Daylight Time

**Alfred M. Walker, P.C.****Attorney at Law**

Admitted to New York State Bar  
and the United States Patent Office

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July 8, 2003

Commissioner for Patents  
Mail Stop: Amendments  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FAXED**

APPLICANTS: William George Wilhelm  
SERIAL NO.: 08/820,496 (CPA)  
FILED: March 19, 1997  
EXAMINER: F. Fleming  
GROUP ART UNIT: 2836  
MAILING DATE OF ACTION: January 21, 2003  
TITLE: HIGH EFFICIENCY LIGHTING SYSTEM

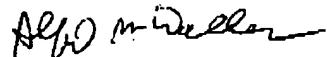
Dear Sir:

Enclosed please find Supplementary Amendment.

Certificate of Fax Transmission.

Please acknowledge receipt by fax.

Respectfully submitted,

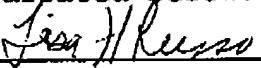


Alfred M. Walker  
Reg. No. 29,983

PAT27

I certify that this correspondence is being deposited by fax  
to (703)-872-9318 on the date indicated below.

Date: July 8, 2003

  
Lisa H. Russo

Wilhelm  
08/820,496

**Certificate of Mailing**

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Commissioner for Patents  
Mail Stop Amendments  
P.O. Box 1450  
Alexandria, VA 22313-1450

on July 8, 2003

Lisa H. Russo  
Lisa H. Russo

nextek lighting 7-8-03 pat 6 preliminary amendment